

Regulations Governing
PhD Programs
of
KLE Technological University
2015

**Suggested modifications during
Academic Council June 2023**



KLE Technological University

(Established under Karnataka Act No.22, 2013)

1	PhD programmes	1.1	Committees responsible for admissions, monitoring and evaluation of PhD programmes. Also includes scope/functions/responsibilities, compositions, and tenure and frequency of meetings of committees.
			1.1.1
		1.1.2	Research Programmes Committee (RPC): The Research Programmes Committee is responsible for the programme monitoring of PhD programmes, review of progress reports, recommendations from Research Review Committee (RRC) and School heads, and any other issue related to PhD registrations. This is an interface to the programme offering schools and the University.
		i	Scope/Functions/Responsibilities of the Research Programmes Committee shall be: <ol style="list-style-type: none"> 1. Review of recommendations of Head of the school regarding monitoring of PhD programmes 2. Review of recommendations of Research Review Committee and Viva-Voce Committee 3. Sending recommendations of list of Research scholars for: <ol style="list-style-type: none"> a. Comprehensive viva, b. Pre-synopsis presentations c. Synopsis submissions d. Thesis submissions e. Award of degree
		ii	Composition of the Research Programmes Committee: To be constituted by the Vice Chancellor and shall consist of: <ol style="list-style-type: none"> 1. Dean of Research - Chair person 2. Deans of faculty - Members 3. One of the senior faculty - Secretary 4. Head of the Schools -Members
		iii	Tenure and Frequency of meeting of Research Programmes Committee: <ol style="list-style-type: none"> 1. The tenure of the Research Programmes Committee shall be 3 years. 2. The Research Programmes Committee shall meet at least <i>TWICE</i> a year. However, the Committee shall meet as often as required.
		1.1.3	a. School Research Committee (SRC) The SRC shall be formed for each of the school/department/center offering PhD program. SRC is responsible to verify, approve and forward the recommendations of RRC in all the matters related to PhD candidate to RPC. SRC is the link between RRC and RPC b. Research Review committee (RRC): The RRC shall be formed for each of the provisionally registered PhD research scholar. The RRC shall be responsible for reviewing and monitoring the

			<p>progress of the research scholar from his/her commencement of PhD research to submission of the Thesis and send recommendations to SRC.</p>
		i	<p>a. Scope/Functions/Responsibilities of the School Review Committee shall be:</p> <ol style="list-style-type: none"> 1. To select candidates for PhD Programme after interviews. 2. To Form of RRC and approve the Coursework for the research scholars. 3. To approve confirmation of PhD registrations. 4. To Recommend PhD candidates for Comprehensive Viva-Voce examination, Pre-synopsis presentations and thesis submission based on the progress of research scholars. 5. To communicate with the RPC/CoE for all research matters. <p>b. Scope/Functions/Responsibilities of the Research Review Committee shall be:</p> <ol style="list-style-type: none"> 1. To review and finalize list of courses (Part-I) for the research scholar and recommend the same to SRC. 2. To conduct Comprehensive Viva-Voce (Part-II) and recommendation for the confirmation of the PhD registration to SRC. 3. To review/monitor periodically (at least once in six months) the research progress of each research scholar until his/her submission of the PhD Thesis, and send its recommendations to SRC. 4. To conduct pre-synopsis presentations and recommend submission of synopsis and Thesis to SRC.
		ii	<p>a. Composition of the School Research Committee (SRC): To be constituted by the Dean of Faculty and shall consist of:</p> <ol style="list-style-type: none"> 1. Head of the School - Chair person 2. Two eligible Research Supervisors-Members 3. One external expert -Member 4. Senior Research Supervisor – Secretary <p>The SRC may be re-constituted by the Dean of Faculty in the case of non- availability of existing member(s) or for any other valid reason, in consultation with the Head of the School/Dept./Center.</p> <p>b. Composition of the Research Review Committee: Composition of the Research Review Committee: To be constituted by the Head of the school/department/center for each research scholar, shall consist of:</p> <ol style="list-style-type: none"> 1. Head of the School- Chairperson 2. Two internal domain experts may be identified by the Head of the school/department/center on the recommendations of the Research Supervisor from time to time. 3. Research Supervisor(s)- Secretary <p>The RRC may be re-constituted by the Head of the school/department/center in the case of non- availability of existing member(s) or for any other valid reason, in consultation with the Research</p>

			Supervisor (s).
		iii	<p>a. Frequency of meeting of SRC Committee: The School Research Committee shall meet at least TWO times a year. However, the Committee shall meet as often as required.</p> <p>b. Frequency of meeting of RRC Committee: The Research Review Committee shall meet at least TWO times a year. However, the Committee shall meet as often as required</p>
		1.1.4	<p>Viva-Voce Committee (VVC): Responsible for the thesis review and conduct of final viva-voce and recommending the result of Viva-Voce to RPC.</p>
		i	<p>Scope/Functions/Responsibilities of the Viva-Voce Committee shall be:</p> <ol style="list-style-type: none"> 1. To review the thesis. 2. The VVC shall conduct the final Viva Voce for the research scholar to defend his/her PhD Thesis. 3. The VVC shall submit the reports in the prescribed format to the RPC.
		ii	<p>Composition of the Viva Voce Committee: To be constituted by the Vice Chancellor for each research scholar, shall consist of:</p> <ol style="list-style-type: none"> 1. Head of the School: Chairperson 2. Research Supervisor(s): Secretary 3. Identified Adjudicators: Members
	1.2 Admissions		<p>Admission Rules and Regulations: List of faculties for which the degrees are offered, minimum qualifications, relaxation for minimum qualifications, relaxation for Research Entrance Test (RET) and different category of PhD programmes.</p> <p>Admission Process: The Admission process involves call for admissions, processing of applications, conduct of Research Entrance Test (RET), preparation of the selected list for pre-registration presentations, conduct of pre-registration presentations and preparation of the selected list for provisional registrations.</p>
		1.2.1	<p>University shall permit the registration for PhD in the following Faculties, namely:</p> <ol style="list-style-type: none"> 1. Faculty of Engineering 2. Faculty of Architecture 3. Faculty of Science 4. Faculty of Management and Social Sciences 5. Faculty of Law <p>Inter-Disciplinary Subject Areas: A research scholar is allowed to pursue PhD programme in a subject area of inter- disciplinary nature subject to the approval of RRC.</p>
		1.2.2	<p>Minimum qualifications and Relaxation for admissions: The minimum academic qualifications to be satisfied by the research scholars seeking admission to PhD Programme,</p> <ol style="list-style-type: none"> 1. The research scholars shall possess a Master's Degree in Engineering/Technology/Architecture (allied specialization)/MBA/MSc in Physics or Chemistry or Mathematics or MCA or equivalent from any other University recognized by Admission Committee, with a minimum CGPA of 6.75 out of 10 or First class at either the Bachelor's or the Master's Degree in respective discipline. 2. The research scholars pursuing M.Tech. Degree at the University

			<p>who have successfully completed the prescribed coursework in the first <i>three</i> semesters of study with outstanding merit of either a minimum CGPA of 6.75 out of 10 or First class at the Bachelor's Degree in respective discipline.</p> <p>3. For Ph.D in Law, the candidate</p> <p>a). Shall have a Master's degree in Law or an equivalent professional degree approved by the Bar Council of India or the UGC, from time to time, with at least 55% of marks in aggregate or an equivalent grade in a UGC Grade point scale wherever any grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.</p> <p style="text-align: center;">Or</p> <p>(b) Have an M.Phil degree in Law through regular mode (with course work) with not less than 55% of marks in aggregate or its equivalent grade (as mentioned above, wherever grading system is followed) along with at least undergraduate degree in Law (LL.B) through regular mode. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time. Further, subject to the Regulations, a Candidate may also be admitted to the Ph.D. programme if his/her M.Phil. Dissertation is evaluated, but the viva-voce examination is pending.</p> <ul style="list-style-type: none"> • Relaxation of Minimum Qualifications: A research scholar belonging to SC / ST / Category-I / Physically challenged or any other reserved category as notified by govt. of Karnataka/competent authority from time to time shall have a relaxation not exceeding 5 % or equivalent grade in the minimum prescribed qualification level for the general category of students.
		1.2.3	<p>Minimum qualifications and Relaxation for RET</p> <p>(a) The research scholar shall score 40% marks (35% for SC/ST research scholars) in RET to get qualified.</p> <p>(b) A Research scholar can reappear to RET examination for THREETimes to get qualified.</p> <p>(c) The following categories of research scholars may be exempted from appearing the RET with the approval of Admission Committee.</p> <ul style="list-style-type: none"> • Faculty Members under QIP / FIP • Research scholars qualified in UGC-CSIR, JRF, RET or equivalent exams conducted by any state /private universities in the last THREE years. • Valid GATE score of 90 percentile within last THREE years
		1.2.4	<p>Call for Admissions:</p> <p>The University may call for Applications for Admissions normally in the months of January and June of every academic year.</p>
		1.2.5	<p>Processing of applications:</p> <p>The eligible research scholar satisfying the Regulation 1.2.2 shall submit their applications for admission to the University in the prescribed format along with other necessary documents.</p>
		1.2.6	<p>Conduct of RET: All the eligible applicants shall appear for the RET, which shall determine the research scholar's aptitude for research. The marks obtained by the research scholar in the RET shall be valid for a period of <i>two</i> years according to minimum qualifications and relaxations given in 1.2.3.</p>

		1.2.7	<p>Pre-registration presentations: List of the qualified research scholars based on cut off marks of RET, as decided by Admission Committee, shall be called for Pre-registration presentations before the Admission Committee.</p> <ul style="list-style-type: none"> • If the performance of the research scholar is satisfactory then the research scholar is selected for provisional registration and notified.
		1.2.8	<p>Categories of Research Scholars: There shall be provision for the following categories of research scholars for admission to PhD.</p> <p>(a) Full-Time: Research scholars who shall pursue PhD research on full time basis.</p> <p>(b) Part-Time: In-service research scholars having a minimum professional experience of one year after his/her PG Degree from among faculty members working in any Engineering College / Polytechnic / University / Deemed to be University (recognized / accredited by appropriate bodies in India) or research staff of public /private organizations, are eligible to pursue PhD on a part time basis. All part-time research scholars need to spend a minimum of</p>

			60 days on the University Campus every year till the submission of their thesis. This mandatory requirement of 60 days stay on campus may be completed with 2 to 3 visits.
		1.2.9	<p>Leave issues:</p> <p>(a) Employed research scholars, who take up PhD Programme on full time basis, shall produce a NOC certificate from their employer-organization(s) with a sanctioned study leave of a minimum period of <i>three</i> years with full salary.</p> <p>(b) Research scholar not having employment, who take up PhD Programme on full time basis shall be forbidden from taking up any employment during such period of PhD work. However, they can apply for scholarships / fellowships / stipends, if any, with intimation to University.</p> <p>(c) Foreign research scholars shall hold valid passport/visa, clearance from MHRD and other agencies as mandated by the GOI throughout the duration of the course.</p>
		1.2.10	In case, any information provided by the research scholar is found to be false, the research scholar may be debarred from PhD programme at any stage.
		1.2.11	<p>Validity period of Registration: During the period when the registration of the research scholar is in force, the research scholar shall pay the prescribed tuition and other fees to the University at the notified time intervals.</p>
		1.2.12	Prescribing fee for registration, course work examinations, fees for dissertation evaluation and pre-PhD comprehensive Viva-Voce schedule, submission of periodic progress reports, change of topic and /or Supervisor, submission of thesis, research calendar of events and such other matters relating to any of the above shall be governed by the administrative orders issued by the University from time to time.
	1.3 Monitoring		Monitoring process: The monitoring process regarding the progress of the research scholar involves review of Coursework, Comprehensive viva voce, Pre-synopsis presentation, synopsis submission and Thesis submission.
		1.3.1	<p>PhD programme:</p> <p>(a) After provisional registration, the PhD programme of a research scholar, shall consist of FOUR parts in sequence, namely,</p> <ol style="list-style-type: none"> 1) Part-I: Coursework – Research scholars need to successfully complete the course work recommended by supervisors as specified in 1.3.2(i). 2) Part–II: Comprehensive Viva Voce – Research scholars need to present the research title and direction during the Comprehensive Viva to seek recommendations to continue with the research plan. 3) Part-III: Pre-synopsis presentation and synopsis submission – Research scholars need to present the synopsis to RRCto seek permission for the recommendations to submit Thesis. 4) Part-IV: Thesis submission- Final Thesis submission for the award of PhD degree. <p>(b) A research scholar shall be free to apply for a change in the</p>

			<p>Research topic /Coursework (<i>“change of coursework”</i> is not applicable for Ph.D. in Law) for the consideration to the RRC before the completion of Part-I. But, the research scholar shall not be permitted to change the Research topic after the completion of Part-II.</p> <p>All the PhD registrations shall be provisional initially and they shall be confirmed only on the successful completion of both Part-I and Part-II.</p>
		1.3.2	<p>Course Work (Part-I):</p> <p>(a) Research scholar shall choose courses from the list of courses announced by the University and successfully complete the course work with minimum pass marks prescribed by the university.</p>
		i	<p>(a) Research scholar from Engineering / Architecture / Management/ Law Studies stream is required to choose Minimum of <i>FOUR</i> courses, out of which <i>ONE</i> course namely Research Methodology is compulsory. RRC may recommend some extra courses for a particular Research scholar, if necessary.</p> <p>(b) Research scholar from MCA / MSc is required to choose Minimum <i>SIX</i> courses, out of which <i>ONE</i> course namely Research Methodology is compulsory. RRC may recommend some extra courses for a particular Research scholar, if necessary.</p> <p>(c) All the Research scholars shall submit their applications along with the prescribed fees for the examinations in the individual courses of Coursework in response to the notifications.</p> <p>(d) Research scholars may register for MOOC courses and seminar courses as a part of their course work as suggested by the RRC from the list courses recommended by the RPC.</p>
		ii	<p>Norms for Maintaining Provisional Registration:</p> <p>a. A PhD research scholar shall complete the entire coursework within two consecutive years from the date of provisional registration.</p> <p>b. Research scholar failing to fulfill the above requirements are liable to get their provisional registration automatically cancelled and no further extension/coursework examinations will be permissible for completing the coursework. Such research scholars are free to apply for provisional registration for PhD afresh.</p> <p>c. In case, the research scholar is not able to complete the coursework within two consecutive years, the RPC shall be free to allow a further extension period to complete the coursework on receipt of a written request from the candidate with the recommendations of RRC and SRC.</p>
		1.3.3	<p>Comprehensive Viva-voce (Part-II):</p> <p>The candidate shall request to conduct the comprehensive viva after successful completion of Part-I and present his/her topic to SRC and internal and external examiners. After the successful completion of Comprehensive viva, candidate’s PhD registration is confirmed.</p>
		i	<p>a. The candidate shall submit a written request to Dean (R&D) with the recommendations of RRC and SRC with the report on research progress and with future research plan for the conduct of comprehensive Viva Voce, within one year after successful completion of Coursework.</p> <p>b. The four copies of comprehensive viva reports submitted by the candidate along with list of four external examiners identified by RRC shall be forwarded through Head of School / Department to CoE by the supervisor in a closed envelope with recommendations from SRC to conduct comprehensive viva voce examination.</p>

			<p>c. The office of the CoE shall take the recommendations from Dean R & D for two external examiners. The Dean R&D shall recommend two external examiners to CoE preferably from the list of recommended examiners. CoE shall make a necessary arrangements to conduct comprehensive viva in consultation with Supervisor and Head of the School/Department.</p> <p>d. The Head of the School / Department in consultation with Research Supervisor and external examiners shall schedule the date/time for the comprehensive Viva Voce and conduct the same preferably within <i>four weeks</i> based on the written request from the candidate.</p> <p>e. The comprehensive viva shall be conducted in English language and shall be a closed-door oral examination. The two external examiners and supervisors shall act as examiners and the SRC members shall attend the comprehensive viva.</p> <p>f. The Comprehensive viva voce shall consist of a presentation by the candidate on his/her topic of research, including the work done till date and the proposed future work. The examiners shall test the candidate for fundamental concepts in the coursework and applied knowledge to carry out the proposed research work.</p> <p>g. The examiners shall forward the results of comprehensive viva to CoE indicating the following comments appropriate to the candidate.</p> <p style="padding-left: 40px;">The performance of the candidate is satisfactory and the candidate is permitted to continue the research work. OR</p> <p style="padding-left: 40px;">The performance of the candidate is not satisfactory. It is suggested to improve in the areas of concern pointed out by the examiners. Further, it is recommended for re- examination within next three months.</p> <p>The committee may suggest refining of thesis title, objectives and orientation.</p> <p>h. In case, the examiners do not recommend the continuation of research work by the candidate even after the second attempt, the provisional registration of the candidate shall stand cancelled automatically.</p> <p>i. In case of health/employment related problems, if a candidate is unable to take the comprehensive Viva Voce as above, the RPC may consider granting extension for a maximum period of one year for this purpose, based on written request from the candidate with the recommendations of the Research Supervisor (s), the SRC and RPC.</p> <p>j. The CoE shall declare the results of comprehensive viva if the recommendations are satisfactory, the Dean R & D, shall issue the letter of confirmation of PhD registration.</p>
		1.3.4	<p>Confirmation of PhD registration:</p> <p>(a) After the successful completion of coursework (Part-I) and the Comprehensive Viva Voce (Part-II), the RRC shall recommend to the RPC for issuance of notification to the research scholar of confirmation of PhD Registration.</p> <p>(b) The RPC shall issue the necessary notification within <i>fifteen</i> days from the date of receipt of the communication from the RRC.</p>
		1.3.5	<p>Progress seminars: Conducted as per Research Calendar for research programs-</p> <p>Research scholar needs to present progress seminars to RRC once a</p>

			year according the admission month (January/June). These seminars are open to all the faculty members and research scholars.
		1.3.6	<p>Mandatory Publications requirement</p> <p>Each candidate shall publish a minimum of ONE research paper in a referred journal before submission of the Synopsis for adjudication, and produce evidence for the same in the form of reprint or acceptance letter from the Publisher(s).</p>
		1.3.7	<p>Pre-synopsis presentation and Synopsis submission (Part-III):</p> <p>(a) The research scholar shall submit a written request to conduct Pre-synopsis presentation to RRC at least THREE months prior to the submission of the Thesis.</p> <p>(b) The research scholar shall submit SIX hard copies and ONE soft copy of the Synopsis in the prescribed format to the RPC through the School along with:</p> <ol style="list-style-type: none"> I. Application for adjudication of the Thesis. II. Certificate from Research Supervisor (s) stating that (i) Comprehensive Viva-Voce, at least ONE publications and all other academic requirements are fulfilled, and (ii) There is <i>prima facie</i> case for the submission of the Thesis and the Thesis does not contain any work, which has been previously submitted for the award of any Degree anywhere. III. Undertaking from the research scholar as well as research Supervisor (s) shall mention that: "The Thesis is based on the individual, original work of the research scholar, which is previously unpublished research work". It shall be ensured by the Research Supervisor (s) that: The Thesis shall not contain any material that infringes the copyright of any other individual or organization and does not hurt the sentiments of any individual(s) or religion(s). The information such as text, tables, equations, diagrams, figures, charts, graphs, and photographs taken from sources such as published work, like research papers, books, periodicals, Web Sites or other sources has been cited appropriately. Further, the opinions expressed or implied in the Thesis shall be entirely of the research scholar. IV. Certificate from the School that there are no arrears of dues up to the date of submission of the Synopsis/Thesis. <p>(c) The RRC conducts Pre-synopsis presentation within TWO weeks after the written request and submits recommendations to the RPC.</p> <p>(d) If the RRC is not satisfied with the research work of the research scholar, it may, in consultation with the Research Supervisor(s), recommend for improvements, if any. In such a case, the research scholar shall appear for Pre-synopsis presentation once again before the RRC within a period of SIX months.</p>
		1.3.8	<p>Permission for Submission of Thesis:</p> <p>After the successful completion of coursework (Part-I), the Comprehensive Viva Voce (Part-II), Pre-synopsis presentation and Synopsis submission (Part-III), and</p> <p>minimum of TWO publication in referred Journal indexed by Web-Of-Science or Scopus, Or minimum of One publication in referred Journal indexed by Web-Of-Science or Scopus and Two publications in conferences indexed by Web-of-Science or Scopus in Q1 or Q2</p> <p>The RRC shall recommend the submission of the Thesis within THREE to SIX months after the submission of Synopsis.</p>

		1.3.9	<p>Minimum Period for Submission of Thesis by</p> <p>(a) Full Time research scholars: Full-Time research scholar for the PhD Degree shall be normally eligible for submission of the Thesis after a minimum period of THREE years from the date of provisional registration, subject to fulfilment of all the prescribed requirements.</p> <p>(b) Part-time research scholars: Part -Time research scholar for the PhD Degree shall be normally eligible for submission of the Thesis after a minimum period of FOUR years from the date of provisional registration, subject to fulfilment of all the prescribed requirements. However, the research scholar may be allowed to submit Thesis before FOUR years with recommendation by the RRC and RPC with the approval of Academic Council.</p>
		1.3.10	<p>Maximum Period for Submission of Thesis by</p> <p>Full Time research scholars:</p> <ul style="list-style-type: none"> • The maximum period for submission of the PhD Thesis by Full-Time research scholar shall be SIX years from the date of provisional registration with 1 year extension with the recommendations of RPC and approved by the Vice Chancellor. <p>(b) Part-time research scholars</p> <ul style="list-style-type: none"> • The maximum period for submission of the Thesis for Part- Time research scholar shall be SEVEN years from the date of provisional registration with 1 year extension with the recommendations of RPC and approved by the Vice Chancellor.
		1.3.11	The research scholar shall pay the prescribed Thesis submission fees, and produce the “No Dues Certificates” from the School while submitting the Thesis.
		1.3.12	<p>Plagiarism Issues:</p> <p>1. Anti-plagiarism check and Submission of the Thesis:</p> <p>a) The research scholar’s thesis shall undergo plagiarism check as per the IEEE plagiarism rules, or where applicable, as per the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018.</p> <p>b) After getting the clearance of Anti-plagiarism check from the RPC/RRC the research scholar shall submit SIX hard copies and ONE soft copy of the Thesis in the prescribed format to the School within THREE to SIX months from the date of submission of the Synopsis.</p> <p>c) In case the research scholar is unable to submit his Thesis within SIX months from the date of submission of the Synopsis, he / she shall be free to seek extension of time from the Vice Chancellor supported by the recommendations of the Research supervisor (s) and the RRC forwarded through the school.</p> <p>2. Complaints of Plagiarism:</p> <p>a) The Vice Chancellor shall have the powers to constitute Expert Committees to investigate into plagiarism issues connected with any PhD Thesis submitted to the University, in the case of receipt of any complaints either from the Adjudicator(s), other individuals or any other sources.</p> <p>b) If the plagiarism is detected then the registration of such research scholar is cancelled.</p>
	1.4		
	Evaluation		Evaluation Process: Evaluation process involves, eligibility check to submit Thesis, Plagiarism issues, conduct of final Viva-Voce for PhD and award of degree.
		1.4.1	<p>Selection and appointment of Adjudicators for evaluation of the Thesis:</p> <p>(a) Panel of Adjudicators: The period of <i>three</i> months between the</p>

			<p>submission of Synopsis and that of the Thesis by the candidate shall be used by the Controller of Examination (CoE) for deciding the panel of adjudicators.</p> <p>(b) Selection of Adjudicators:</p> <ul style="list-style-type: none"> • The School/Department/Research Centre shall arrange to send <i>SIX</i> hard copies and ONE soft copy of the Synopsis along with a proposed panel of <i>SIX</i> experts with at-least THREE experts from outside the state for adjudication of the thesis as approved by the RRC. The complete postal address, e-mail ID, Telephone and fax numbers and the broad area of specialization of each proposed Adjudicator shall be provided along with the panel submitted. • The Vice Chancellor shall choose TWO Adjudicators with at-least ONE from outside the state from the suggested panel for adjudication of the Thesis and send invitation letters to them along with a copy of the Synopsis and viva-voce date for Thesis. <p>(c) The University shall arrange for the Thesis to be evaluated by the Research Supervisor(s) and <i>two</i> more Adjudicators identified within <i>three</i> months from the date of invitation, before taking further steps.</p>
		1.4.2	<p>Receipt of Thesis Reports All the Adjudicators shall evaluate the Thesis and send their Reports. The Adjudicators shall make any one of the following recommendations with the report:</p> <ol style="list-style-type: none"> 1. That the Thesis is accepted in the present form and recommended for the award of PhD Degree, OR 2. That the Thesis needs minor clarifications indicated in the Report which need to be clarified by the candidate at final Viva-Voce and it is recommended for the award of PhD Degree, OR 3. That the Thesis needs minor corrections to be made by the candidate as indicated in the Report, which need to be incorporated in the Thesis and clarified at the final Viva Voce and it is recommended for the award of PhD Degree, OR 4. That the Thesis needs major corrections as indicated in the Report and the revised Thesis to be referred back to the Adjudicator for fresh evaluation, OR 5. That the Thesis is rejected for the reasons specified in the report and <i>not</i> recommended for award of PhD Degree.
		1.4.3	<p>Consideration of Thesis Reports :</p> <p>a. Acceptance of Thesis: On receiving favorable recommendations from all the Adjudicators, including the Research Supervisor(s), the Controller of Examination shall convey the same to the Research Supervisor (s) and Head of the School along with (a copy to RPC) copies of the Reports received and request to conduct the final Viva Voce of the research scholar as scheduled.</p> <p>b. Appointment of Additional Adjudicator, if necessary: The Thesis shall not be accepted for award of the Degree if any one of the Adjudicators (other than the Research Supervisor (s)) finds that the research work is not up to the expected standard, as given in his/her Report along with detailed reasons for the same. In such cases, the Report shall be considered by the concerned RRC for deciding on the need of appointing an additional Adjudicator. An additional Adjudicator shall be appointed by the VC from the panel. If the additional Adjudicator, after evaluating the Thesis gives a favorable Report, the Controller of Examination shall initiate further steps. If the</p>

			<p>additional Adjudicator also does not approve the Thesis, then the Thesis shall be rejected. It shall be open for the candidate to seek PhD registration at the University, afresh.</p> <p>c. Rejection of Thesis, based on Negative Reports: If both the external Adjudicators (other than the Supervisor(s)) do not recommend the research scholar for the award of the PhD Degree. Thesis shall be rejected. It shall be open for the research scholar to seek registration for this Degree at the University, afresh.</p>
		1.4.4	<p>Conduct of final Viva-voce Consolidated Report</p> <p>(a) After successful completion of the Viva Voce, the Viva Voce Committee shall prepare and forward the Consolidated Report along with duly corrected version of both the hard and the soft copies of the Thesis through the School to the CoE. The CoE shall present the Consolidated Report to the Academic Council.</p>
		1.4.5	<p>Award of PhD degree :</p> <p>Degree Certificates:</p> <p>a) On successful completion of the final Viva-Voce, the University shall issue a notification for award of Ph.D.</p> <p>b) Upon the approval by the Academic Council, the University shall issue a Provisional PhD Degree Certificate to the research scholar certifying that the Degree has been awarded in accordance with the Regulations Governing the Degree of Doctor of Philosophy.</p> <ul style="list-style-type: none"> • The University shall award the PhD Degree Certificate during the forthcoming Convocation. <p>(b) Copy right: University shall hold the copyright of the Thesis submitted to it.</p>
		1.4.6	<p>Submission to UGC:</p> <p>Following the successful completion of the evaluation process and announcement of the award of the PhD Degree, the Registrar shall submit a soft copy of the PhD Thesis to the UGC within a period of <i>THIRTY</i> days, for hosting the same on its Information and Library Network, accessible to all the Institutions / Universities. The University shall also maintain a repository of such PhD Thesis in its Library.</p>
		1.4.7	<p>PROHIBITIONS:</p> <p>(a) Prohibition of Statutory Officers from Ph. D. Registration: The Statutory Officers of the University shall not be permitted to register for the PhD Degree of the University during the period of their tenure at the University.</p> <p>(b) Prohibition of research scholars from Registering for any other Degree: No PhD research scholar of the University shall be permitted to pursue any other Degree programme within the University or at other Universities / Institutions, concurrently with his/her on-going registration as a research scholar for the PhD Degree.</p>
	1.5 Research supervisor(s)		Procedure for recognition of research supervisor(s), modalities and functioning
		1.5.1	<p>Recognition of supervisor</p> <p>a) Persons seeking recognition as a Supervisor shall apply in the</p>

			<p>prescribed format to RPC for their recognition as a Supervisor through the Head of the School.</p> <p>b) The Supervisor seeking to supervise research scholars shall possess BE/B.Tech as basic degree in relevant field to supervise PhD research scholar in the faculty of Engineering. The Supervisor seeking to supervise research scholars in Science Faculty shall possess B.Sc./BCA or equivalent degree in relevant field. The Supervisor seeking to supervise research scholars in Faculty of Architecture shall possess B.Arch or equivalent degree in relevant field. The Supervisor seeking to supervise research scholars in Faculty of Management may have any basic degree at UG level with MBA from recognized University.</p> <p>c) Supervisor shall possess PhD in relevant field of Faculty awarded by recognized Universities.</p> <p>d) For recognition of supervisor in faculty of Law 1. Supervisor should possess PhD in law, 2. Should have minimum of 3 years of teaching experience Post-PhD 3. Should have published minimum of 3 publications in SCOPUS/web of science peer-reviewed journals.</p> <p>e) A research student can opt for a maximum of TWO supervisors and, if one of the supervisor's is from outside the University, he/she shall be called co-supervisor.</p> <p>f) The following acts of research Supervisor may lead to disqualification of Supervisor from guiding any research scholars</p> <ul style="list-style-type: none"> • Influencing the external examiner to write favorable report on Thesis. • Making effort to intervene in external examiners evaluation work. • Writing thesis valuation report on behalf of external examiners and making arrangements to send such reports from External Examiners.
		1.5.2	Not with standing any of the regulations, the Vice-Chancellor shall be empowered to take suitable decisions based on the merit of the individual cases.
		1.5.2 (i)	Persons seeking recognition as a Supervisor should have completed minimum of 1 year AND should have published minimum 3 Scopus / Web of Science indexed Publication after PDC / Convocation along with meeting requirement as specified by Gazette Order UGC No 2781 Dt 5th July 2016
		1.5.3	<p>Functioning of Research Supervisor:</p> <p>(a) There shall be a possibility for assigning a Research Supervisor(s) for a research scholar registered for PhD degree at a School as decided by the Admission Committee.</p> <p>(b) Supervisor(s), with either of them being from the School where the research scholar has registered for PhD.</p> <p>(c) No person shall function as Supervisor for his/her blood relative or spouse for PhD, as defined by the University.</p>

			<p>1.5.4 Number of Research scholars</p> <p>The following norms / procedure shall be strictly adhered to while assigning the Research Supervisors to the Research scholars admitted with recommendations of RPC and forwarded through the School:</p> <p>(a) Each Research Supervisor shall supervise not more than 08 (EIGHT) research scholars, (including reservation category) at a time including the research scholars who have registered for PhD degree at other Universities (acceptance for supervision of PhD research scholars at other Universities shall be with the written permission of the University).</p> <p>(b) The research scholars of a Research Supervisor who have completed the final Viva-Voce shall not be considered while counting the number of research scholars assigned to a Research Supervisor.</p> <p>(c) A Research Supervisor shall not be assigned more than <i>TWO</i> newly admitted research scholars during an academic year.</p> <p>(d) Under special consideration, admission committee may recommend up to <i>FOUR</i> research scholars at once to supervise with proper</p>
			<p>reasoning. This extraordinary case shall be exercised carefully by the RPC and must be approved by Vice Chancellor.</p>
			<p>1.5.5 Change of Admission Category</p> <p>(a) Research scholar shall be allowed to change his/her admission category from full time to part time or vice-versa only once during the tenure of the PhD program on the following valid reasons:</p> <p>(i) Employment (ii) Medical grounds (iii) Valid personal grounds</p> <p>The research scholar may apply for change of category from full time to part time or vice versa on recommendations by SRC along with valid documents such as appointment letter / NoC from the employer/medical certificate/valid personal grounds etc. However, part time research scholars are not allowed to change their admission category after confirmation of provisional admission/completion of comprehensive viva-voce.</p>